

DEFINITIONS	<p>The meanings of the words in regular type are taken directly from the 9 CFR Subchapter A Section 1.1 or Animal Care Policies. The meanings of the words in <i>italicized</i> type were taken either from other sources, such as training handouts and reference texts, or developed by the Animal Care Manual Team for clarification. Words undefined in this section shall have the meanings attributed to them in a standard dictionary or by standard industry usage.</p> <p>The singular form also signifies the plural.</p>
<i>Acclimated</i>	accustomed to the prevailing climatic conditions
<i>Acclimation</i>	physiological adjustment of the animal to the prevailing climatic conditions
Act	the Act of August 24, 1966 (Pub. L. 89-544), (commonly known as the Laboratory Animal Welfare Act), as amended by the Act of December 24, 1970 (Pub. L. 91-579), (the Animal Welfare Act of 1970), the Act of April 22, 1976 (Pub. L. 94-279), (the Animal Welfare Act of 1976), and the Act of December 23, 1985 (Pub. L. 99-198), (the Food Security Act of 1985), and as it may be subsequently amended
Activity	for purposes of part 2, subpart C of this subchapter, those elements of research, testing, or teaching procedures that involve the care and use of animals
Administrative unit	the organizational or management unit at the departmental level of a research facility
Administrator	the Administrator of the Animal and Plant Health Inspection Service, U.S. Department of Agriculture, or any other official of the Animal and Plant Health Inspection Service to whom authority has been delegated to act in his stead
Ambient temperature	the air temperature surrounding the animal
Animal	any live or dead dog, cat, nonhuman primate, guinea pig, hamster, rabbit, or any other warm blooded animal, which is being used, or is intended for use for research, teaching, testing, experimentation, or exhibition purposes, or as a pet. This term excludes: birds, rats of the genus <i>Rattus</i> and mice of the genus <i>Mus</i> bred for use in

	research, and horses not used for research purposes and other farm animals, such as, but not limited to livestock or poultry, used or intended for use as food or fiber, or livestock or poultry used or intended for use for improving animal nutrition, breeding, management, or production efficiency, or for improving the quality of food or fiber. With respect to a dog, the term means all dogs, including those used for hunting, security, or breeding purposes.
Animal act	any performance of animals where such animals are trained to perform some behavior or action or are part of a show, performance, or exhibition
APHIS	the Animal and Plant Health Inspection Service, United States Department of Agriculture
APHIS, AC Regional Director	a veterinarian or his/her designee, employed by APHIS, who is assigned by the Administrator to supervise and perform the official work of APHIS in a given State or States. As used in part 2 of this subchapter, the APHIS, AC Regional Director shall be deemed to be the person in charge of the official work of APHIS in the State in which the dealer, exhibitor, research facility, intermediate handler, carrier, or operator of an auction sale has his/her principal place of business.
APHIS official	any person employed by the Department who is authorized to perform a function under the Act and the regulations in 9 CFR parts 1, 2, and 3
<i>Aquarium (Marine Mammal Exhibits)</i>	a sea life exhibit housing marine mammals
<i>Attempted inspection</i>	situation where no inspection was conducted because an authorized person was not available to accompany the inspector
Attending veterinarian	a person who has graduated from a veterinary school accredited by the American Veterinary Medical Association's Council on Education, or has a certificate issued by the American Veterinary Medical Association's Education Commission for Foreign Veterinary Graduates, or has received equivalent formal education as determined by the Administrator; has received training and/or experience in the care and management of the species being

	attended; and who has direct or delegated authority for activities involving animals at a facility subject to the jurisdiction of the Secretary
<i>Brachiating species</i>	species of nonhuman primates that move around above the ground by arm-swinging
<i>Broker</i>	a person who functions as an intermediary between two or more parties in negotiating agreements, bargains or the like. Such person does not usually take actual physical possession or control of the animal(s), and does not usually hold the animal(s) in any facility.
<i>Buncher</i>	a person who collects dogs, cats or other regulated animals from random sources and supplies these animals to laboratory animal dealers
<i>Business days</i>	Monday through Friday
Business hours	a reasonable number of hours between 7 a.m. and 7 p.m., Monday through Friday, except for legal Federal holidays, each week of the year, during which inspections by APHIS may be made
Business year	the 12-month period during which business is conducted, and may be either on a calendar or fiscal-year basis
<i>Calendar year</i>	January 1 through December 31
<i>Canceled/terminated license</i>	a license which has been deleted from the active list of licensees in LARIS. The license can no longer be used to conduct activities regulated under the Animal Welfare Act.
<i>Canceled/terminated registration</i>	a registration which has been deleted from the active list of registrants in LARIS. The registration can no longer be used to conduct activities regulated under the Animal Welfare Act.
<i>Carnival</i>	a temporary collection of amusement rides, booths, petting zoos and animal acts
Carrier	the operator of any airline, railroad, motor carrier, shipping line, or other enterprise which is engaged in the business of transporting any animals for hire
Cat	any live or dead cat (<i>Felis catus</i>) or any cat-hybrid cross

<i>Cetacean</i>	marine mammal order consisting of the whale, dolphin and porpoise
<i>Circus</i>	a traveling entity that has a grouping of animal acts and animal exhibitions that perform for the entertainment of the public
Class “A” licensee (breeder)	a person subject to the licensing requirements under part 2 and meeting the definition of a “dealer” (Sec. 1.1), and whose business involving animals consists only of animals that are bred and raised on the premises in a closed or stable colony and those animals acquired for the sole purpose of maintaining or enhancing the breeding colony
Class “B” licensee	a person subject to the licensing requirements under part 2 and meeting the definition of a “dealer” (Sec. 1.1), and whose business includes the purchase and/or resale of any animal. This term includes brokers, and operators of an auction sale, as such individuals negotiate or arrange for the purchase, sale, or transport of animals in commerce. Such individuals do not usually take actual physical possession or control of the animals, and do not usually hold animals in any facilities. A class “B” licensee may also exhibit animals as a minor part of the business.
Class “C” licensee (exhibitor)	a person subject to the licensing requirements under part 2 and meeting the definition of an “exhibitor” (Sec. 1.1), and whose business involves the showing or displaying of animals to the public. A class “C” licensee may buy and sell animals as a minor part of the business in order to maintain or add to his animal collection.
Commerce	trade, traffic, transportation, or other commerce: (1) Between a place in a State and any place outside of such State, including any foreign country, or between points within the same State but through any place outside thereof, or within any territory, possession, or the District of Columbia; or (2) Which affects the commerce described in this part.
Committee	the Institutional Animal Care and Use Committee (IACUC) established under section 13(b) of the Act. It shall consist of at least three (3) members, one of whom is the attending veterinarian of the research facility and one of whom is not affiliated in any way with the facility other than as a member of the committee, however, if the research facility has more than one Doctor of Veterinary Medicine

	(DVM), another DVM with delegated program responsibility may serve. The research facility shall establish the Committee for the purpose of evaluating the care, treatment, housing, and use of animals, and for certifying compliance with the Act by the research facility
<i>Complaint</i>	a concern received by Animal Care that relates to regulated animals or activities
<i>Contingency Plan</i>	a written procedure that outlines the care, housing, removal or recapture of animals in the event of an emergency
<i>Contract pound</i>	a pound which is owned or operated by a person who has a legally binding agreement with a governmental entity
<i>Covered activity</i>	an animal-use activity under the jurisdiction of the Animal Welfare Act
<i>Covered animal</i>	an animal species under the jurisdiction of the Animal Welfare Act, specifically all warm-blooded species
<i>Criteria</i>	factors that must be present to determine if a general statement is met
<i>Dealer</i>	any person who, in commerce, for compensation or profit, delivers for transportation, or transports, except as a carrier, buys, or sells, or negotiates the purchase or sale of: Any dog or other animal whether alive or dead (including unborn animals, organs, limbs, blood, serum, or other parts) for research, teaching, testing, experimentation, exhibition, or for use as a pet; or any dog for hunting, security, or breeding purposes. This term does not include: A retail pet store, as defined in this section, unless such store sells any animals to a research facility, an exhibitor, or a dealer (wholesale); or any person who does not sell, or negotiate the purchase or sale of any wild or exotic animal, dog, or cat and who derives no more than \$500 gross income from the sale of animals other than wild or exotic animals, dogs, or cats, during any calendar year.
<i>Department</i>	the U.S. Department of Agriculture
<i>Deputy Administrator</i>	the Deputy Administrator for Animal Care (AC) or any other

	official of AC to whom authority has been delegated to act in his/her stead
Diurnal	periodic alteration between day and night
Dog	any live or dead dog (<i>Canis familiaris</i>) or any dog-hybrid cross
<i>Drive through zoo</i>	a facility with free roaming animals or animals housed in large enclosures contained within a perimeter fence and exhibited to the public who are restricted to enclosed moving vehicles
<i>Dry resting area (DRA)</i>	floor space adjacent to a pool where marine mammals may rest and engage in social activity
Dwarf hamster	any species of hamster such as the Chinese and Armenian species whose adult body size is substantially less than that attained by the Syrian or Golden species of hamsters
Endangered species	those species defined in the Endangered Species Act (16 U.S.C. 1531 et seq.) and as it may be subsequently amended
Enforcement statement	statement used for repeat violations - "This is a warning that you have had the same alleged violation(s) documented on your last two inspections. You are being given the opportunity to correct these violations only for the purpose of facilitating compliance with the Animal Welfare Act and the regulations and standards. If legal action is initiated against you, all alleged violations may be used as evidence at a formal adjudicatory hearing." Previously called LOI or LOW.
Euthanasia	the humane destruction of an animal accomplished by a method that produces rapid unconsciousness and subsequent death without evidence of pain or distress, or a method that utilizes anesthesia produced by an agent that causes painless loss of consciousness and subsequent death
<i>Exemption</i>	an approved exception to a regulation or standard
Exhibitor	any person (public or private) exhibiting any animals, which were purchased in commerce or the intended distribution of which affects commerce, or will affect commerce, to the public for compensation, as determined by the Secretary. This term includes carnivals, circuses, animal acts, zoos, and educational exhibits, exhibiting such

	<p>animals whether operated for profit or not. This term excludes retail pet stores, horse and dog races, organizations sponsoring and all persons participating in State and county fairs, livestock shows, rodeos, field trials, coursing events, purebred dog and cat shows and any other fairs or exhibitions intended to advance agricultural arts and sciences as may be determined by the Secretary.</p>
Exotic animal	<p>any animal not identified in the definition of "animal" provided in this part that is native to a foreign country or of foreign origin or character, is not native to the United States, or was introduced from abroad. This term specifically includes animals such as, but not limited to, lions, tigers, leopards, elephants, camels, antelope, anteaters, kangaroos, and water buffalo, and species of foreign domestic cattle, such as Ankole, Gayal, and Yak.</p>
Farm animal	<p>any domestic species of cattle, sheep, swine, goats, llamas, or horses, which are normally and have historically, been kept and raised on farms in the United States, and used or intended for use as food or fiber, or for improving animal nutrition, breeding, management, or production efficiency, or for improving the quality of food or fiber. This term also includes animals such as rabbits, mink, and chinchilla, when they are used solely for purposes of meat or fur, and animals such as horses and llamas when used solely as work and pack animals.</p>
Federal agency	<p>an Executive agency as such term is defined in section 105 of title 5, United States Code, and with respect to any research facility means the agency from which the research facility receives a Federal award for the conduct of research, experimentation, or testing involving the use of animals</p>
Federal award	<p>any mechanism (including a grant, award, loan, contract, or cooperative agreement) under which Federal funds are used to support the conduct of research, experimentation, or testing, involving the use of animals. The permit system established under the authorities of the Endangered Species Act, the Marine Mammal Protection Act, and the Migratory Bird Treaty Act, are not considered to be Federal awards under the Animal Welfare Act.</p>
Federal research facility	<p>each department, agency, or instrumentality of the United States which uses live animals for research or experimentation</p>

Field study	a study conducted on free-living wild animals in their natural habitat. This term excludes any study that involves an invasive procedure, harms, or materially alters the behavior of an animal under study.
Floor	the lower or supporting surface of a structure
FOIA	Freedom of Information Act
Government-operated pound	a pound owned and operated by a State, county, city or local governmental agency
Handling	petting, feeding, watering, cleaning, manipulating, loading, crating, shifting, transferring, immobilizing, restraining, treating, training, working and moving, or any similar activity with respect to any animal
Haul out	exit from the water
Haul out area	floor space adjacent to a pool where marine mammals may rest and engage in social activity (same as dry resting area)
Hoofstock	any hoofed animal, such as deer, llama, sheep, pig, etc.
Housing facility	any land, premises, shed, barn, building, trailer, or other structure or area housing or intended to house animals
Hybrid cross	an animal resulting from the crossbreeding between two different species or types of animals. Crosses between wild animal species, such as lions and tigers, are considered to be wild animals. Crosses between wild animal species and domestic animals, such as dogs and wolves or buffalo and domestic cattle, are considered to be domestic animals.
Impervious surface	a surface that does not permit the absorption of fluids. Such surfaces are those that can be thoroughly and repeatedly cleaned and disinfected, will not retain odors, and from which fluids bead up and run off or can be removed without their being absorbed into the surface material.
Indoor housing facility	any structure or building with environmental controls housing or intended to house animals and meeting the following three requirements: (1) It must be capable of controlling the temperature

	within the building or structure within the limits set forth for that species of animal, of maintaining humidity levels of 30 to 70 percent and of rapidly eliminating odors from within the building; and (2) It must be an enclosure created by the continuous connection of a roof, floor, and walls (a shed or barn set on top of the ground does not have a continuous connection between the walls and the ground unless a foundation and floor are provided); and (3) It must have at least one door for entry and exit that can be opened and closed (any windows or openings which provide natural light must be covered with a transparent material such as glass or hard plastic).
Intermediate handler	any person, including a department, agency, or instrumentality of the United States or of any State or local government (other than a dealer, research facility, exhibitor, any person excluded from the definition of a dealer, research facility, or exhibitor, an operator of an auction sale, or a carrier), who is engaged in any business in which he receives custody of animals in connection with their transportation in commerce
Inspector	any person employed by the Department who is authorized to perform a function under the Act and the regulations in 9 CFR parts 1, 2, and 3
Institutional official	the individual at a research facility who is authorized to legally commit on behalf of the research facility that the requirements of 9 CFR parts 1, 2, and 3 will be met
Isolation in regard to marine mammals	the physical separation of animals to prevent contact and a separate, non-common, water circulation and filtration system for the isolated animals
LARIS	License and Registration Information System
Licensed veterinarian	a person who has graduated from an accredited school of veterinary medicine or has received equivalent formal education as determined by the Administrator, and who has a valid license to practice veterinary medicine in some State
Licensee	any person licensed according to the provisions of the Act and the regulations in part 2 of this subchapter

<i>Location</i>	a group of animal housing facilities with the same address or on the same premises
LOI	Letter of Information - see “Enforcement statement”
LOW	Letter of Warning - see “Enforcement statement”
<i>Maintenance Program</i>	a written plan which outlines procedures for ongoing repairs or replacement
Major operative procedure	any surgical intervention that penetrates and exposes a body cavity or any procedure which produces permanent impairment of physical or physiological functions
<i>Marine mammal</i>	a mammal whose natural home is the sea, such as the whale, dolphin, porpoise, manatee, dugong, pinniped (walrus, seal, sea lion), sea otter and polar bear
Minimum horizontal dimension (MHD)	the diameter of a circular pool of water, or in the case of a square, rectangle, oblong, or other shape pool, the diameter of the largest circle that can be inserted within the confines of such a pool of water
Mobile or traveling housing facility	a transporting vehicle such as a truck, trailer, or railway car, used to house animals while traveling for exhibition or public education purposes
<i>Most probable number (MPN)</i>	term used in a standard water test to indicate the coliform count in a water sample
Necropsy	an appropriate post-mortem examination (which complies with currently acceptable professional standards) of an animal which may include, but not be limited to, a systematic gross pathology examination (internal and external), appropriate microbiological cultures and histopathology of lesions, and other indicated tests, performed by or under the supervision of a veterinarian experienced with that species

<i>Nonagricultural/ nonproduction animal</i>	an animal NOT used for traditional agricultural production purposes, such as use as food and fiber; for improvement of animal nutrition, breeding, management, or production efficiency; or for the improvement of the quality of food or fiber. Examples are dog, cat, or other pet animal.
Non-conditioned animal	an animal which has not been subjected to special care and treatment for sufficient time to stabilize, and where necessary, to improve its health
Nonhuman primate	any nonhuman member of the highest order of mammals including prosimians, monkeys, and apes
Operator of an auction sale	any person who is engaged in operating an auction at which animals are purchased or sold in commerce
Outdoor housing facility	any structure, building, land, or premise, housing or intended to house animals, which does not meet the definition of any other type of housing facility provided in the regulations, and in which temperatures cannot be controlled within set limits
Painful procedure as applied to any animal	any procedure that would reasonably be expected to cause more than slight or momentary pain or distress in a human being to which that procedure was applied, that is, pain in excess of that caused by injections or other minor procedures
Paralytic drug	a drug which causes partial or complete loss of muscle contraction and which has no anesthetic or analgesic properties, so that the animal cannot move, but is completely aware of its surroundings and can feel pain
<i>Partial inspection</i>	a focused inspection concentrating on a particular area of the regulations/standards or a specific non-compliant item
<i>Perimeter fence</i>	a secondary containment system surrounding the area or areas where the outdoor housing facilities of regulated animals are located
Person	any individual, partnership, firm, joint stock company, corporation, association, trust, estate, or other legal entity

Pet animal	any animal that has commonly been kept as a pet in family households in the United States, such as dogs, cats, guinea pigs, rabbits, and hamsters. This term excludes exotic animals and wild animals.
<i>Petting zoo</i>	a type of exhibit that normally includes direct contact of the public, including handling and feeding, with the animals. This type of exhibit usually involves small non-dangerous animals and/or farm animals
<i>Pinniped</i>	order of marine mammal with all four limbs modified into flippers, such as the seal, sea lion and walrus
Positive physical contact	petting, stroking, or other touching, which is beneficial to the well-being of the animal
<i>Potable water</i>	water fit for human consumption
Pound or shelter	a facility that accepts and/or seizes animals for the purpose of caring for them, placing them through adoption, or carrying out law enforcement, whether or not the facility is operated for profit
<i>Prelicense inspection</i>	inspection conducted to determine compliance with the Animal Welfare Act regulations and standards prior to issuance of a USDA license
<i>Premises</i>	the land and any buildings upon it
Primary conveyance	the main method of transportation used to convey an animal from origin to destination, such as a motor vehicle, plane, ship, or train
Primary enclosure	any structure or device used to restrict an animal or animals to a limited amount of space, such as a room, pen, run, cage, compartment, pool, or hutch
Principal investigator	an employee of a research facility, or other person associated with a research facility, responsible for a proposal to conduct research and for the design and implementation of research involving animals
<i>Private pound</i>	a pound not owned or operated by a governmental entity
<i>Public barrier</i>	a fence, wall or other structure which prevents direct contact between the public and an animal

Quorum	a majority of the Committee members
Random source	dogs and cats obtained from animal pounds or shelters, auction sales, or from any person who did not breed and raise them on his or her premises
RBIS	Risk-based Inspection System
Registrant	any research facility, carrier, intermediate handler, or exhibitor not required to be licensed under section 3 of the Act, registered pursuant to the provisions of the Act and the regulations in part 2 of this subchapter
Regulated activity	an activity that is controlled or directed under Title 9 CFR Subchapter A
Regulated animal	an animal as defined in 9 CFR Subchapter A Part 1
Reinspection	a follow-up inspection conducted within 45 days of the correction date given in the previous inspection to ensure that all direct non-compliant items have been corrected
Renewal time period	the time period between the receipt of the USDA license renewal packet by the licensee and the expiration date of the current license
Research facility	any school (except an elementary or secondary school), institution, organization, or person that uses or intends to use live animals in research, tests, or experiments, and that (1) purchases or transports live animals in commerce, or (2) receives funds under a grant, award, loan, or contract from a department, agency, or instrumentality of the United States for the purpose of carrying out research, tests, or experiments: Provided, That the Administrator may exempt, by regulation, any such school, institution, organization, or person that does not use or intend to use live dogs or cats, except those schools, institutions, organizations, or persons, which use substantial numbers (as determined by the Administrator) of live animals the principal function of which schools, institutions, organizations, or persons, is biomedical research or testing, when in the judgment of the Administrator, any such exemption does not vitiate the purpose of the Act.

Retail pet store	any outlet where only the following animals are sold or offered for sale, at retail, for use as pets: dogs, cats, rabbits, guinea pigs, hamsters, gerbils, rats, mice, gophers, chinchilla, domestic ferrets, domestic farm animals, birds, and cold blooded species. Such definition excludes--(1) Establishments or persons who deal in dogs used for hunting, security, or breeding purposes; (2) Establishments or persons exhibiting, selling, or offering to exhibit or sell any wild or exotic or other non-pet species of warm-blooded animals (except birds), such as skunks, raccoons, nonhuman primates, squirrels, ocelots, foxes, coyotes, etc.; (3) Any establishment or person selling warm-blooded animals (except birds, and laboratory rats and mice) for research or exhibition purposes; and (4) Any establishment wholesaling any animals (except birds, rats and mice). (5) Any establishment exhibiting pet animals in a room that is separate from or adjacent to the retail pet store, or in an outside area, or anywhere off the retail pet store premises.
Retail sales	the direct sale to an individual for that person's own use
Revoked license	a license which has been rescinded by a legal decision
Roadside zoo	exhibitions geared toward the tourist trade. They may be seasonal and/or located near major tourist traffic routes. A roadside zoo may be a small zoo, animal act or petting zoo.
Routine inspection	a periodic, unannounced inspection conducted to determine compliance with the AWA regulations and standards
Sanitize	to make physically clean and to remove and destroy, to the maximum degree that is practical, agents injurious to health
Search	an investigation to determine if a regulated activity is being conducted by an unlicensed person
Secretary	the Secretary of Agriculture of the United States or his/her representative who shall be an employee of the Department
Shelter	a structure which an animal can use for refuge or protection from adverse conditions

Sheltered housing facility	a housing facility which provides the animals with shelter; protection from the elements; and protection from temperature extremes at all times. A sheltered housing facility may consist of runs or pens totally enclosed in a barn or building, or of connecting inside/outside runs or pens with the inside pens in a totally enclosed building.
<i>Sirenian</i>	marine mammal order consisting of the manatee and dugong
<i>Site</i>	all locations where regulated activities are conducted within a 35 mile radius of a facility's headquarters
Standards	the requirements with respect to the humane housing, exhibition, handling, care, treatment, temperature, and transportation of animals by dealers, exhibitors research facilities, carriers, intermediate handlers, and operators of auction sales as set forth in part 3 of this subchapter
State	a State of the United States, the District of Columbia, Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, or any other territory or possession of the United States
Study area	any building room, area, enclosure, or other containment outside of a core facility or centrally designated or managed area in which animals are housed for more than 12 hours
<i>Suspended license</i>	a USDA license which has been rescinded for a specific period of time by a legal decision
<i>Terminated/canceled license</i>	a license which has been deleted from the active list of licensees in LARIS. The license can no longer be used to conduct activities regulated under the Animal Welfare Act.
Transporting device	an interim vehicle or device, other than man, used to transport an animal between the primary conveyance and the terminal facility or in and around the terminal facility of a carrier or intermediate handler
Transporting vehicle	any truck, car, trailer, airplane, ship, or railroad car used for transporting animals

<i>Traveling exhibitor</i>	exhibitor that is mobile by nature
<i>Valid license</i>	a USDA license in good standing and legally effective
<i>Variance</i>	an approved exemption from the regulations or standards
<i>Weaned</i>	an animal that has become accustomed to taking solid food and has done so, without nursing, for a period of at least 5 days
<i>Wholesale</i>	the sale of an animal to an individual or business for the purpose of being resold
<i>Wild animal</i>	any animal which is now or historically has been found in the wild, or in the wild state, within the boundaries of the United States, its territories, or possessions. This term includes, but is not limited to, animals such as: deer, skunk, opossum, raccoon, mink, armadillo, coyote, squirrel, fox, wolf.
<i>Wild state</i>	living in its original, natural condition; not domesticated
<i>Young dog or cat</i>	a dog or cat 16 weeks of age or less
<i>Zoo</i>	any park, premises, building, cage, enclosure, or other structure in which a live animal is kept for public exhibition or viewing, regardless of compensation
